

**SCHEDULE 6**

(Section 2)

**Activities falling within the Definition of “Relevant Financial Business”**

Any activity related but not limited to -

1. Acceptance of deposits and other repayable funds from the public.
2. Lending.
3. Financial leasing.
4. Money or value transfer services.
5. Issuing and managing means of payment (e.g. credit and debit cards, cheques, traveller’s cheques, money orders and bankers’ drafts, electronic money).
6. Financial guarantees and commitments.
7. Trading in –
  - (a) money market instruments (cheques, bills, certificates of deposit, derivatives etc.);
  - (b) foreign exchange;
  - (c) exchange, interest rate and index instruments;
  - (d) transferable securities; or
  - (e) commodity futures trading.
8. Participation in securities issues and the provision of financial services related to such issues.
9. Advice to undertakings on capital structure, industrial strategy and related questions and advice and services relating to mergers and the purchase of undertakings.
10. Money broking.

11. Individual and collective portfolio management and advice.
12. Safekeeping and administration of cash or liquid securities on behalf of other persons.
13. Safe custody services.
14. Financial, estate agency, legal and accounting services provided in the course of business relating to -
  - (a) the sale, purchase or mortgage of land or interests in land on behalf of clients or customers;
  - (b) management of client money, securities or other assets;
  - (c) management of bank, savings or securities accounts; and
  - (d) the creation, operation or management of legal persons or arrangements, and buying and selling of business entities.
15. The services of listing agents and broker members of the Cayman Islands Stock Exchange as defined in the CSX Listing Rules and the Cayman Island Stock Exchange Membership Rules respectively.
16. The conduct of securities investment business.
17. Dealing in precious metals or precious stones, when engaging in a cash transaction of fifteen thousand dollars or more.
18. The provision of registered office services to a private trust company by a company that holds a Trust licence under section 6(5)(c) of the Banks and Trust Companies Law (2018 Revision).
19. Otherwise investing, administering or managing funds or money on behalf of other persons.
20. Underwriting and placement of life insurance and other investment related insurance.