

---

## **SLAVERY AND HUMAN TRAFFICKING STATEMENT**

As a global organisation Vistra understands that we need to lead by example in the way we do business. We recognize that modern slavery is a crime and a violation of fundamental human rights. It takes various forms, such as slavery, servitude, forced and compulsory labour and human trafficking, all of which have in common the deprivation of a person's liberty by another in order to exploit them for personal or commercial gain. We aim to act fairly, ethically and openly in everything we do and are committed to practices to combat slavery and human trafficking in our supply chains or in any part of our business.

Vistra has over 3,200 employees worldwide and operates in 45 jurisdictions. Our business is organised into three units:

- Alternative Investments: we provide fund clients with a range of services including fund administration and reporting, investor services, and depositary services
- Corporate and Private Client Services
- International Expansion: we facilitate businesses to expand and operate globally

### **OUR POLICIES ON SLAVERY AND HUMAN TRAFFICKING**

We are committed to ensuring that there is no modern slavery or human trafficking in our supply chains or in any part of our business. Our Anti-Slavery Policy reflects our commitment to acting ethically and with integrity in all our business relationships and to implementing and enforcing effective systems and controls to ensure slavery and human trafficking is not taking place anywhere in our supply chains.

### **OUR SUPPLY CHAIN**

In order to provide our services and expertise we procure a range of goods and services from third party suppliers who may, in turn, enter into sub-contracts with their suppliers. We may also, from time to time, outsource some of our back-office functions to suppliers.

We have zero tolerance to slavery and human trafficking. We expect all those in our supply chain to comply with our values and ethics. To this end we have the following measures in place:

- Our Code of Conduct and Business Ethics outlines the purpose and values which govern the Vistra way of working across our business units globally. It emphasises the need to respect and value those we work with and it requires colleagues to act fairly and ethically in all that they do.
- We have an independent system in place to encourage the reporting of concerns and the protection of whistle blowers.
- Our Director of Compliance is responsible for overseeing the implementation of our Anti-Slavery Policy and the annual preparation of our slavery and human trafficking statement.

### **TRAINING**

To ensure a high level of understanding of the risks of modern slavery and human trafficking in our supply chains and our business, we will provide training to our staff involved in procurement and/or with responsibility for our supply chain management.

### **OUR EFFECTIVENESS IN COMBATING SLAVERY AND HUMAN TRAFFICKING**

If we discover a violation of our Anti-Slavery Policy we will respond in a manner proportionate to the nature and extent of the violation.

This statement is made pursuant to section 54(1) of the Modern Slavery Act 2015 and constitutes Vistra's slavery and human trafficking statement for the financial year ending 2017.

Vistra Group Holdings (BVI) III Limited

11<sup>th</sup> May 2018